

# Socially and Environmentally Responsible Practices Survey

## Blue Hill Investment Partners, LP

**Please note:** As part of our commitment to an open and transparent government, your completed survey will be posted in its entirety in electronic format on Treasury's Web site at <http://www.patreasury.org>,

### A. Women and Ethnic Minority Query

#### *Firm Practices*

1. Please detail the employee diversification of your firm, emphasizing executive and management positions that have decision-making responsibilities. Count each employee only once, except owners may be counted both as owners and employees if the owner has significant operational responsibilities. If s/he has multiple roles, count the employee in the category where s/he spends the most time.

Position	Ethnic Minority	Women	Female Minority	Other	Total
Owners (and % ownership)	0	1	0	0	1
Executive Management (e.g. CEO, COO, VP, Directors)	0	1 (Owner)	0	0	1
Investment Decision makers (portfolio managers, traders, etc.)	0	0	0	0	0
Investment Professionals (analysts, compliance officers, etc.)	0	0	0	1	1
All other FTE	0	1	0	0	1
TOTAL	0	2	0	1	3

2. Please describe relevant initiatives and/or policies that assist in recruiting women and minorities to your firm and retaining and promoting those employees in your firm.

We do not have any specific initiatives around recruiting women and minorities. We are an equal opportunity employer.

#### *Vendors and Subcontractors*

3. What percentage of your trades utilizes minority/women-owned brokerage firms?

Not applicable – we do not utilize a brokerage firm.

4. Do you consider women and minority ownership or makeup when hiring vendors/sub-contractors, consultants, advisors or other professional services? If so, how? If not, please explain why you do not.

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No. We look for best in class vendors and sub-contractors; women and/or minority is considered secondary to capabilities and performance.

5. Please provide any other information you feel may be relevant or may help Treasury understand your approach to employee and vendor/subcontractor diversification.

### B. Environmental/Sustainability Query

#### *Firm Practices*

1. Please provide information related to your firm's policy/initiatives/efforts with respect to environmentally responsible and sustainable practices.

Blue Hill Partners, LLC ("Blue Hill") is solely focused on investing in green companies and projects, with a particular focus on energy efficiency in the built environment. Blue Hill has closed 6 investments in green companies to date.

#### *Vendors and Subcontractors*

2. Do you consider the environmental or sustainable practices of vendors and subcontractors in hiring decisions? If so, how? If not, please explain why you do not.

Yes. When possible, we require electronic rather than paper copies of documents, use recycled products, etc.

#### *Investment Decisions*

3. Do you consider environmental / sustainability issues when making investment decisions? If so, how? If not, please explain why you do not.

Blue Hill Partners, LLC ("Blue Hill") is solely focused on investing in green companies and projects, with a particular focus on energy efficiency in the built environment. Blue Hill has closed 6 investments in green companies to date.

4. Are there specific sectors or industries that you are likely to over or underweight due to climate risk or opportunity? If so, which ones?

Yes, energy efficiency technology companies and projects in commercial, institutional, industrial, and residential sectors.

5. How do you obtain information about climate risk and environmental factors?

Blue Hill obtains information through NGOs such as CERES ([ceres.org](http://ceres.org)), investor research from firms that follow the green sector such as Canaccord Adams, and a myriad

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of periodicals. In general, all companies Blue Hill invests in reduce carbon emissions and will capitalize on climate regulations, if legislation were to be passed.

6. Have you adopted the United Nations Principles for Responsible Investing? (<http://www.unpri.org/>). If not, are you in the process of evaluating, or would you consider evaluating adoption of the United Nations Principles for Responsible Investing?

Blue Hill Partners has not signed but we are in the process of reviewing.

Please detail any other information you feel may be relevant or helpful for Treasury to understand your approach to evaluating the significance of environmental and sustainability issues in making investment decisions.

### C. Pennsylvania Commitment Query

Please provide numbers detailing the firm and client presence in Pennsylvania.

	PA	Total
Headquarters	1	1
Offices	0	0
Employees	3	3
Clients HQ in PA	3	3

Please detail any other information you think relevant regarding the extent of your business contacts or activities in Pennsylvania.

### D. Geopolitical Risk

1. How do you take geopolitical risk into account when making investment decisions? If so, how? If not, please explain why you do not.

Not Applicable. We only invest in U.S. based companies.

2. Do you currently have in place policies that prohibit or limit investment in companies doing business with countries identified as state sponsors of terrorism by the U.S. State Department? If so, please provide those policies.

Not Applicable. We only invest in U.S. based companies.